

## Abstract

### Objectives

To investigate whether awake endoscopy can diagnose base-of-tongue obstruction as reliably as sleep endoscopy in infants with Pierre Robin sequence (PRS).

### Study Design

Individual case-control study.

### Methods

A retrospective review of 141 infants with PRS managed between 2005 and 2015 was conducted. Thirty-five underwent both bedside awake flexible fiberoptic nasopharyngolaryngoscopy and drug-induced sleep endoscopy in the operating room. Base-of-tongue collapse was considered pathologically obstructed if described as moderate or severe or classified as Yellon grade 2 or 3. Sensitivity, specificity, and positive likelihood ratio of awake endoscopy findings were calculated with 95% confidence intervals (CI). McNemar's test was also utilized to examine inter-test differences.

### Results

Awake endoscopy was performed at a median age of 5.5 days (range 0 to 61), and drug-induced sleep endoscopy was performed at a median age of 21 days (range 0 to 216). Awake endoscopy had 50.0% sensitivity (CI 27.2-72.8%) and 86.7% specificity (CI 59.5-98.3%) for detecting base-of-tongue obstruction compared to drug-induced sleep endoscopy; false negative rate was 28.6% (N=10). Positive likelihood ratio was 3.75 (CI 0.96-14.65). Compared to awake endoscopy, sleep endoscopy demonstrated significantly more cases of base-of-tongue obstruction ( $p = 0.039$ ).

### Conclusion

Bedside awake endoscopy has low sensitivity for detecting base-of-tongue collapse in infants with Pierre Robin sequence. Due to the substantial number of false negatives, awake endoscopy may not be a reliable diagnostic modality for ruling out tongue base obstruction in this susceptible population. Drug-induced sleep endoscopy may be indicated in high-risk patients in order to avoid missing the diagnosis of upper airway obstruction.



Fig 1. An infant with PRS that demonstrates microretrognathia, glossoptosis, and cleft palate.

## Methods

- Retrospective case series with chart review of the 141 consecutive infants with PRS managed between January 1, 2005 and July 31, 2015.
  - 35 patients underwent both AE and DISE.
- Awake flexible fiberoptic nasopharyngolaryngoscopy was performed and interpreted by pediatric otolaryngologists at the bedside.
- DISE was performed and interpreted by pediatric otolaryngologists in the operating room.
  - Sedation was achieved using continuous intravenous propofol infusion.
- BOT collapse was graded as normal, mild, moderate, or severe or 0, 1, 2, or 3 according to the Yellon classification<sup>7</sup>.
  - Moderate (2) or severe (3) BOT collapse was considered pathologically obstructed (Fig 2).
- Sensitivity, specificity, positive predictive value, negative predictive value, positive likelihood ratio, and negative likelihood ratio of AE findings compared to the gold standard of DISE were calculated with 95% confidence intervals (CI).
- McNemar's test was utilized to examine inter-test differences between AE and DISE.

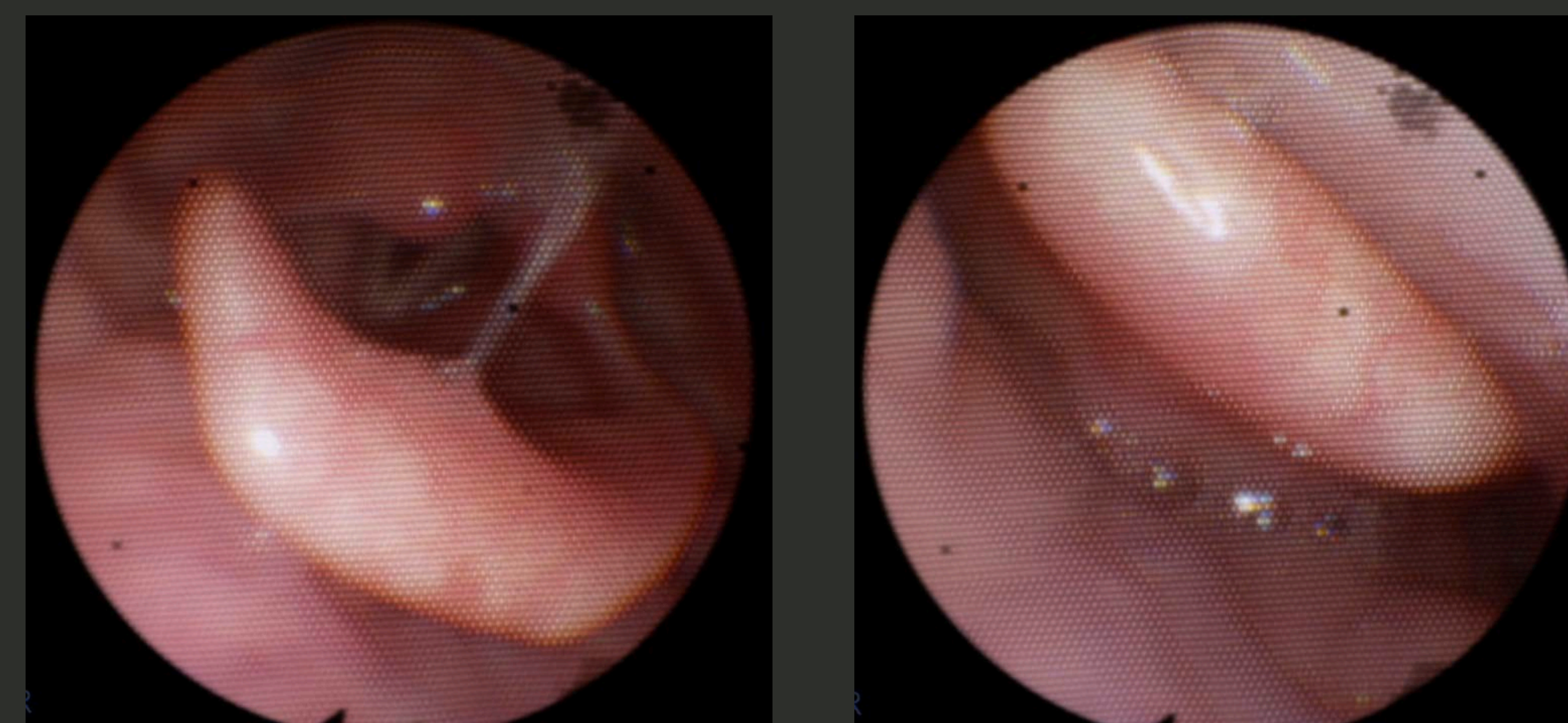


Fig 2. Left: no BOT collapse on endoscopy. Right: moderate-to-severe BOT collapse on endoscopy.

## Results

- Awake endoscopy performed at a median age of 5.5 days (range 0 to 61 days).
- DISE performed at a median age of 21 days (range 0 to 216 days).

	(+) BOT collapse on DISE	(-) BOT collapse on DISE
(+) BOT collapse on AE	10	2
(-) BOT collapse on AE	10	13

Table 1. Prevalence of BOT collapse as determined by AE and DISE in all 35 patients.

- AE had 50.0% sensitivity and 86.7% specificity for detecting BOT obstruction compared to DISE (Tables 1 & 2).
  - False negative rate = 28.6% (N=10).

	Value	95% CI
Sensitivity	50.0%	27.2-72.8%
Specificity	86.7%	59.5-98.3%
Positive Likelihood Ratio	3.75	0.96-14.65
Negative Likelihood Ratio	0.58	0.36-0.93
Prevalence	57.1%	39.4-73.7%
Positive Predictive Value	83.3%	51.6-97.9%
Negative Predictive Value	56.5%	34.5-76.8%

Table 2. Statistical output of AE findings compared to DISE.

- Sleep endoscopy demonstrated significantly more cases of BOT obstruction compared to awake endoscopy (McNemar's test, 2 tail,  $p=0.039$ ).

## Introduction

- Pierre Robin sequence (PRS): microretrognathia, glossoptosis, & possible cleft palate (Fig 1) → upper airway obstruction, feeding difficulty, & growth retardation.<sup>1</sup>
- Upper airway obstruction may be subtle, manifesting only during sleep. 85-100% of PRS infants have concurrent sleep-disordered breathing.<sup>2,3</sup>
- Awake flexible fiberoptic nasopharyngolaryngoscopy has been used to assess for sites of obstruction and to grade base-of-tongue (BOT) collapse in patients with PRS.<sup>4</sup>
- Drug-induced sleep endoscopy (DISE) in adults and children has been shown to identify more cases of obstruction than awake endoscopy (AE).<sup>5,6</sup>
  - Use of DISE in infants with PRS to has not been described.
- Can awake endoscopy diagnose base-of-tongue obstruction as reliably as sleep endoscopy in infants with PRS?**

## Discussion & Conclusions

- Bedside awake endoscopy has low sensitivity for detecting BOT obstruction in infants with PRS compared to drug-induced sleep endoscopy.
- There is a substantial false negative rate with awake endoscopy.
- Awake endoscopy may not reliably rule out BOT obstruction in this susceptible population.
- Presumably, the upper airway is more patent during an awake exam due to the increased muscular tone of a crying infant.<sup>5</sup>
- Drug-induced sleep endoscopy may be indicated as an adjunct in high-risk infants with PRS in order to avoid missing the diagnosis of upper airway obstruction.

## Contact

Jake J. Lee, BS  
University of Pittsburgh School of Medicine  
MD Candidate, Class of 2016  
Email: lee.jake@medstudent.pitt.edu  
Phone: 201-679-7758

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